

Applicant(s): Jan Dolloff et al.  
Appl. No.: 10/661,219  
Filed: September 12, 2003  
Title: GAMING DEVICE HAVING A MULTI-ROUND, MULTI-CHARACTERISTIC CARD GAME  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112300-1401

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10**

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal Letter (2 pages) (in duplicate);
2. Information Disclosure Statement (5 pages);
3. Information Disclosure Citation-Form 1449 (3 sheets);
4. 16 references; and
5. Return Receipt Postcard.

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on November 12, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Renee M. Street

Name of Person Mailing Correspondence

Signature

EV 352427367 US

Express Mail Mailing Label Number

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

112300-1401 P 11

In Re Application Of: Jan Dolloff et al.

Serial No.

10/661,219

Filing Date

September 12, 2003

Examiner

Unknown

Group

Unknown

Title: **GAMING DEVICE HAVING A MULTI-ROUND, MULTI-CHARACTERISTIC CARD GAME**

Address to:

Commissioner for Patents

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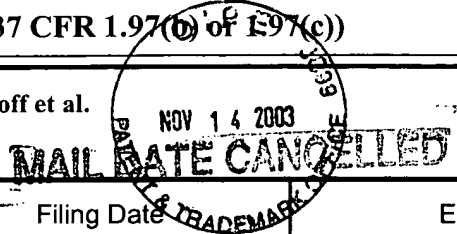
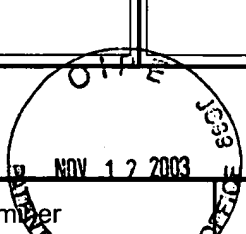
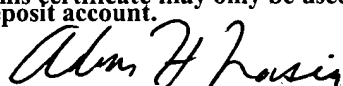
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) of 197(c))			Docket No. 112300-1401	
In Re Application:    Jan Dolloff et al.				
<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;">  </div> <div style="text-align: center;">  </div> </div>				
Serial No. 10/661,219	Filing Date September 12, 2003	Examiner Unknown	Group Art Unit Unknown	
<b>GAMING DEVICE HAVING A MULTI-ROUND, MULTI-CHARACTERISTIC CARD GAME</b>				
<div style="text-align: center;"> <b>Payment of Fee</b>          (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))       </div> <p> <input type="checkbox"/> A check in the amount of _____ is attached.  <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No.    02-1818          as described below.       </p> <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____  <input checked="" type="checkbox"/> Credit any overpayment.  <input checked="" type="checkbox"/> Charge any additional fee required.       </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> <p><b>Certificate of Transmission by Facsimile*</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:         </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           _____            (Date)         </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           _____            Signature         </div> <div style="border: 1px solid black; padding: 5px;">           _____            Typed or Printed Name of Person Signing Certificate         </div> </div> <div style="width: 45%;"> <p><b>Certificate of Mailing by First Class Mail</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.         </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           _____            Signature of Person Mailing Correspondence         </div> <div style="border: 1px solid black; padding: 5px;">           _____            Typed or Printed Name of Person Mailing Certificate         </div> </div> </div> <div style="margin-top: 10px;"> <p><b>*This certificate may only be used if paying by deposit account.</b></p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;">             _____            Signature         </div> <div style="width: 50%;">           Dated:    November 12, 2003         </div> </div> </div>				
Adam H. Masia Reg. No. 35,602 Bell, Boyd & Lloyd, LLC P.O. Box 1135 Chicago, Illinois 60690-1135 (312) 807-4284				
CC:				

App. No. 10/661,219

NOV 12 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Filed: September 12, 2003  
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Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112300-1401

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

**U.S. PATENT DOCUMENTS**

<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
4,448,419	May 15, 1984	Telnaes
4,508,353	April 2, 1985	Meyer et al.
4,624,459	November 25, 1986	Kaufman
4,695,053	September 22, 1987	Vazquez, Jr. et al.
4,732,386	March 22, 1988	Rayffel
4,775,155	October 4, 1988	Lees
5,072,946	December 17, 1991	Miller
5,205,555	April 27, 1993	Hamano

<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
5,324,041	June 28, 1994	Boylan et al.
5,423,539	June 13, 1995	Nagao
5,449,173	September 12, 1995	Thomas et al.
5,536,016	July 16, 1996	Thompson
5,542,669	August 6, 1996	Charron et al.
5,560,603	October 1, 1996	Seelig et al.
5,611,535	March 18, 1997	Tiberio
5,722,891	March 3, 1998	Inoue
5,769,716	June 23, 1998	Saffari et al.
5,772,509	June 30, 1998	Weiss
5,788,573	August 4, 1998	Baerlocher et al.
5,823,874	October 20, 1998	Adams
5,848,932	December 15, 1998	Adams
5,851,148	December 22, 1998	Brune et al.
5,882,261	March 16, 1999	Adams
5,911,418	June 15, 1999	Adams
5,919,091	July 6, 1999	Bell et al.
5,935,002	August 10, 1999	Falciglia
5,944,314	August 31, 1999	Stavinsky
5,947,820	September 7, 1999	Morro et al.
5,951,397	September 14, 1999	Dickinson
5,988,643	November 23, 1999	Awada
5,989,121	November 3, 1999	Sakamoto
6,004,207	December 21, 1999	Wilson, Jr. et al.

<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
6,015,346	January 18, 2000	Bennett
6,059,658	May 9, 2000	Mangano et al.
6,089,976	July 18, 2000	Schneider et al.
6,089,977	July 18, 2000	Bennett
6,102,798	August 15, 2000	Bennett
6,105,962	August 22, 2000	Malavazos et al.
6,126,542	October 3, 2000	Fier
6,129,355	October 10, 2000	Hahn et al.
6,142,873	November 7, 2000	Weiss et al.
6,159,098	December 12, 2000	Slomiany et al.
6,164,652	December 26, 2000	Lauretta et al.
6,173,955	January 16, 2001	Perrie et al.
6,174,235 B1	January 16, 2001	Walker et al.
6,224,483 B1	May 1, 2001	Mayeroff
6,227,969 B1	May 8, 2001	Yoseloff
6,227,971 B1	May 8, 2001	Weiss
6,231,442 B1	May 15, 2001	Mayeroff
6,234,897 B1	May 22, 2001	Frohm et al.
6,261,177 B1	July 17, 2001	Bennett
6,299,165 B1	October 9, 2001	Nagano
6,305,686 B1	October 23, 2001	Perrie et al.
6,309,300 B1	October 30, 2001	Glavich
6,312,334 B1	November 6, 2001	Yoseloff
6,315,663 B1	November 13, 2001	Sakamoto

<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
6,336,860 B1	January 8, 2002	Webb
6,346,043 B1	February 12, 2002	Colin et al.
6,347,996 B1	February 19, 2002	Gilmore et al.
US 2002/0025847 A1	February 28, 2002	Thomas et al.

#### **FOREIGN PATENT DOCUMENTS**

<u>Patent/Application No.</u>	<u>Issued/Published</u>	<u>Country</u>
WO9732285	September 4, 1997	WIPO
EP 0945837 A2	September 29, 1999	Europe
WO 00/12186	March 9, 2000	WIPO

#### **OTHER DOCUMENTS**

Addams Family Article written by Strictly Slots, published in 2000.

All Grown Up written by Sodak Gaming, Inc., published in 2003.

Austin Powers written by IGT, published in 2001.

Catch a Wave Article written by IGT, published in 2000.

On the Money Article written by Casino Data System, published in 2000.

Price is Right Showcase Showdown, written by IGT, published in 2001.

Red, White and Blue Advertisement written by IGT, published in 2000.

Slot Machines written by Marshall Fey, published in 1983, 1989, 1991, 1994 and 1997.

Slots 2003, part one, written by Melissa Raimondi, published in 2003.

South Park Austin Power written by IGT, published in 2000.

UNO and Magic 8 Ball Slots Offer a One-Two Punch of Fun!, Slotline 2003, summer edition.

Uno Game Description by C.R. Light & Co., published in 1900.

Uno Original Instructions published by Mattel, Inc. in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



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Adam H. Masia

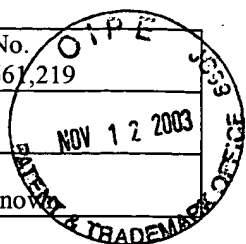
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Chicago, Illinois 60690-1135

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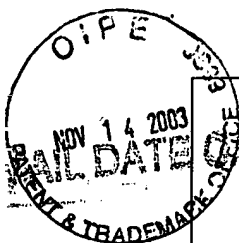




<b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)		Atty Docket No. 0112300-1401	Application No. 10/661,219
PTO Form 1449		Applicant Jan Dolloff et al.	
		Filing Date September 12, 2003	Group Unknown

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		4,448,419	May 15, 1984	Telnaes			
		4,508,353	April 2, 1985	Meyer et al.			
		4,624,459	November 25, 1986	Kaufman			
		4,695,053	September 22, 1987	Vazquez, Jr. et al			
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		5,772,509	June 30, 1998	Weiss			
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		5,911,418	June 15, 1999	Adams			
		5,919,091	July 6, 1999	Bell et al.			
		5,935,002	August 10, 1999	Falciglia			
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		5,947,820	September 7, 1999	Morro et al.			
		5,951,397	September 14, 1999	Dickinson			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

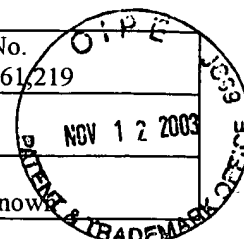
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0112300-1401

Application No.  
10/661,219

Applicant  
Jan Dolloff et al.

Filing Date  
September 12, 2003

Group  
Unknown

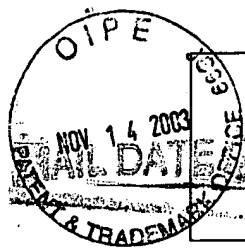


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Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,988,643	November 23, 1999	Awada			
	5,989,121	November 3, 1999	Sakamoto			
	6,004,207	December 21, 1999	Wilson, Jr. et al.			
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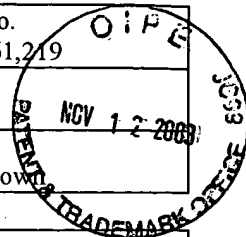
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**INFORMATION DISCLOSURE CITATION****IN AN APPLICATION**

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PTO Form 1449

Atty Docket No.  
0112300-1401Application No.  
10/661,219Applicant  
Jan Dolloff et al.Filing Date  
September 12, 2003Group  
Unknown**U.S. PATENT DOCUMENTS**

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	6,347,996 B1	February 19, 2002	Gilmore et al.			
	US 2002/0025847 A1	February 28, 2002	Thomas et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	WO9732285	September 4, 1997	WIPO				
	EP 0945837	September 29, 1999	Europe				
	WO 00/12186	March 9, 2000	WIPO				

Examiner's Initials	<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>
	Addams Family Article written by Strictly Slots, published in 2000.
	All Grown Up written by Sodak Gaming, Inc., published in 2003.
	Austin Powers written by IGT, published in 2001.
	Catch a Wave Article written by IGT, published in 2000.
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	Uno Original Instructions published by Mattel, Inc. in 1998.

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